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| JC | TRANSMITTAL | | | ation Number | 10/64 | 6,398 | |
| TRANS | | | | Date | Augus | st 21, 2003 | |
| FO E | RM | | First N | amed Inventor | Sprun | k, Eric J. | |
| TRANS FO (to be used for all corres | (to used for all correspondence after initial filing) | | | t | Not ye | et assigned | |
| PATENT ETHE | | | | ner Name | Not yet assigned | | |
| | Total Number of Pages in This 4 Submission | | Attorne | ey Docket Number | 0189 | 26-010010US | |
| | | ENCI | OSURE | S (Check all that appl | y) | | |
| Fee Transmittal Form | n | ☐ Drawing(s) | | □ A | fter Allowance Communication to Group | | |
| Fee Attached | | Licensing-related Papers | | | ppeal Communication to Board of Appeals and Interferences | | |
| Amendment/Reply | | Petition | Petition | | | ppeal Communication to Group (Appeal otice, Brief, Reply Brief) | |
| After Final | | | to Conv | | ☐ P | roprietary Information | |
| Affidavits/declar | ration(s) | Power of Attorney, Revocation Change of Correspondence Address | | □ s | tatus Letter | | |
| Extension of Time Ro | equest | Terminal Disclaimer | | | ther Enclosure(s) lease identify below): | | |
| Express Abandonme | ent Request | Request for Refund | | Return Postcard | | | |
| Information Disclos Statement | sure | CD, Number of CD(s) | | Cited References: (3-US Patents; 1-Foreign; 4-Non- Patent Literature Documents) | | | |
| Certified Copy of Prio | rity | Remai | The Commissioner is authorized to charge any additional fees Account 20-1430. | | ed to charge any additional fees to Deposit | | |
| Response to Missing Incomplete Application | | | | | | | |
| Response to Mi under 37 CFR | | | | | | | |
| | SIGN | NATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | |
| or | | | wnsend and Crew LLP | | | | |
| Individual Th | romas D. Frank | Reg. No. 43,616 | | | 16 | | |
| Signature | Signature | | | | | | |
| Date No. | Date November 17, 20 | | 003 | | | | |
| | CERTIFICATE OF TRANSMISSION/MAILING | | | | | | |
| | I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | | | | |
| Typed or printed name | Typed or printed name | | Cindy Bennett | | | | |
| Signature | Signature | | G/X | Variet V | Date | November 17, 2003 | |

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 018926-010010US Client Reference No.: D3038

Commissioner for Patents

CHO Box 1450

Solicited Prince Patents

On November 17, 2003

TOWNSEND and FOWNSEND and CREW LEP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Eric J. Sprunk

Application No.: 10/646,398

Filed: August 21, 2003

For: TERRESTRIAL BROADCAST COPY PROTECTION SYSTEM FOR DIGITAL TELEVISION Examiner: Not yet assigned

Art Unit:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Eric J. Sprunk Application No.: Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Thomas D. Franklin Reg. No. 43,616

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Tel: 303-571-4000 Fax: 303-571-4321

TDF:cmb 60079313 v1

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

> (use as many sheets as necessary) of 1

Sheet

| Complete if Known | | | | |
|------------------------|------------------|--|--|--|
| Application Number | 10/646,398 | | | |
| Filing Date | August 21, 2003 | | | |
| First Named Inventor | Sprunk, Eric J. | | | |
| Art Unit | | | | |
| Examiner Name | Not yet assigned | | | |
| Attorney Docket Number | 018926-010010US | | | |

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| | AK | РСТ | WO 01/52543 | A1 | 07-19-2001 | DIVA SYSTEMS CORPORATION | | |
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| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------|--------------|---|----|--|--|
| Examiner Initials * | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Т: | | |
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| Examiner | Date | |
| | Date | |
| Signature | Considered | |
| | | |

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.